PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

| 10 10 0 00000101 01 1110 | | | | | | |
|------------------------------------|------------------|---------------------------|--|--|--|--|
| Attorney Docket No. First Inventor | | FP10100 Wen-Kwei CHANG | | | | |
| | | | | | | |
| Expre | ss Mail I abel N | o. | | | | |

| Only for new trempressesses | al applications under 37 CFR 1.55(b)) | Assistant Commissioner for Patents | | | | | | | |
|---|--|---|--|--|--|--|--|--|--|
| | TION ELEMENTS | ADDRESS TO: Box Patent Application | | | | | | | |
| | eming utility patent application contents. | Washington, DC 20231 | | | | | | | |
| 1. | rm (e.g., PTO/SB/17) uplicate for fee processing) nall entity status. [Total Pages 11] set forth below) of the invention to Related Applications rding Fed sponsored R & D quence listing, a table, oogram listing appendix he Invention of the Drawings (if filed) bition Disclosure S.C. 113) [Total Sheets 3] [Total Pages 1] itted (original or copy) prior application (37 CFR 1.63 (d)) ion/divisional with Box 18 completed) ON OF INVENTOR(S) tement attached deleting inventor(s) | Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. (when there is an assignee) 11. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS)/PTO-1449 13. Preliminary Amendment 14. Keturn Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | | |
| 1.63(d)(2) a | named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/3: or its equivalent. Other: | | | | | | | | |
| | | | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Of prior application No.: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | |
| | 19. CORRESPON | DENCE ADDRESS | | | | | | | |
| Customer Number or Bar Co | ode Label (Insert Customer No. or Attach I | or Correspondence address below | | | | | | | |
| Name | Wen-Kwei CHANG | | | | | | | | |
| | PO Box 82-144 | | | | | | | | |
| Address | | | | | | | | | |
| City | Taipei | State Zip Code | | | | | | | |
| Country | TAIWAN Te | elephone Fax | | | | | | | |
| Name (Print/Type) | Wen-Kwei CHANG | Registration No. (Attorney/Agent) | | | | | | | |
| Signature | Wen-Kwei C | | | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAI for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| 100 | 205 00 | |
|-----|--------|--|
| (2) | 385.00 | |

| · Complete if Known | | | | | |
|----------------------|----------------|--|--|--|--|
| Application Number | | | | | |
| Filing Date | | | | | |
| First Named Inventor | Wen-Kwei CHANG | | | | |
| Examiner Name | | | | | |
| Art Unit | | | | | |
| Attorney Docket No. | FP10100 | | | | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | |
|---|--|--|---------|-------------|--|-------------|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large Entity Small Entity | | | | | |
| Deposit Account. | | | | Fee (\$) | Fee Description | Fee Paid |
| Account Number | | 130 | 2051 | | Surcharge - late filing fee or oath | Fee Paid |
| Deposit | 1052 | 50 | 2052 | | Surcharge - late provisional filing fee or | |
| Account Name | 1050 | 420 | 4052 | 120 | cover sheet | |
| The Director is authorized to: (check all that apply) | | 130 | 1053 | | Non-English specification For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below Credit any overpayments | | 1812 2,520 1812 2,520 1804 920* 1804 92 | | | Requesting publication of SIR prior to | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1004 | 320 | 1004 | 320 | Examiner action | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1, | ,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| to the above-identified deposit account. | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FILING FEE Large Entity Small Entity | | 950 | 2253 | | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid | 1254 1, | | 2254 | 740 | Extension for reply within fourth month | |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee | 1255 2. | .010 | 2255 | 1.005 | Extension for reply within fifth month | |
| 1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee | | 330 | 2401 | • | Notice of Appeal | i i |
| 1002 340 2002 170 Design limit lee | | 330 | 2402 | | Filing a brief in support of an appeal | |
| 1004 770 2004 385 Reissue filing fee | | 290 | 2403 | | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 1. | | 1451 | | Petition to institute a public use proceeding | |
| | 1452 | 110 | 2452 | | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$) 385.00 | 1453 1, | ,330 | 2453 | 665 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 1 | ,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid | 1502 | 480 | 2502 | 240 | Design issue fee | |
| Total Claims20** = X = | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Claims | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection | |
| 1201 86 2201 43 Independent claims in excess of 3 | | _ [| | | (37 ČFR 1.129(a)) | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 | 1802 | 900 | 1802 | 900 | · | |
| and over original patent | | ١ | | | of a design application | |
| SUBTOTAL (2) (\$) | Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (2) (5) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | Keance | eu by | Dasic F | ang F | ee Paid SUBTOTAL (3) (\$) | |

| SUBMITTED BY | | | | (Complete (| (if applicable)) |
|-------------------|-----------------|-----|-----------------------------------|-----------------|------------------|
| Name (Print/Type) | Wen-Kwei CHANG | Λ | Registration No. (Attorney/Agent) | Telephone | |
| Signature | 11/on- Kevei Co | Kar | 01 | Date | March 31, 2004 |

WARNING: Information on this form may be ome public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.